

2818

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application No.	09/960,125	
	Filing Date	September 21, 2001	
	First Named Inventor	Jian Li	
	Group Art Unit	2818	
	Examiner Name	S. Rao	
Total Number of Pages in This Submission	6	Attorney Docket Number	42390P11557X

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">- Return Postcard</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	December 13, 2002

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: December 13, 2002			
Typed or printed name	Derek S. Watson		
Signature		Date	December 13, 2002

OIPE JC178
DEC 19 2002
PATENT & TRADEMARK

FEE TRANSMITTAL for FY 2003 <small>Patent fees are subject to annual revision.</small>	Complete if Known	
	Application Number Filing Date First Named Inventor Examiner Name Group/Art Unit Attorney Docket No.	097960,125 September 21, 2001 Jian Li S. Rao 2818 42390P11557X
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		
TOTAL AMOUNT OF PAYMENT (\$)		

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																							
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input checked="" type="checkbox"/> None <input type="checkbox"/> Deposit Account		3. ADDITIONAL FEES																																																																																																																																																																																							
Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP																																																																																																																																																																																									
The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20 <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account																																																																																																																																																																																									
FEE CALCULATION																																																																																																																																																																																									
1. BASIC FILING FEE																																																																																																																																																																																									
<table border="1"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>1001</td><td>740</td><td>2001</td><td>370</td><td>Utility filing fee</td><td rowspan="5"></td></tr><tr><td>1002</td><td>330</td><td>2002</td><td>165</td><td>Design filing fee</td></tr><tr><td>1003</td><td>510</td><td>2003</td><td>255</td><td>Plant filing fee</td></tr><tr><td>1004</td><td>740</td><td>2004</td><td>370</td><td>Reissue filing fee</td></tr><tr><td>*005</td><td>160</td><td>2005</td><td>80</td><td>Provisional filing fee</td></tr><tr><td colspan="4">SUBTOTAL (1)</td><td></td><td></td></tr></tbody></table>		Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1001	740	2001	370	Utility filing fee		1002	330	2002	165	Design filing fee	1003	510	2003	255	Plant filing fee	1004	740	2004	370	Reissue filing fee	*005	160	2005	80	Provisional filing fee	SUBTOTAL (1)																																																																																																																																																			
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																				
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																						
1001	740	2001	370	Utility filing fee																																																																																																																																																																																					
1002	330	2002	165	Design filing fee																																																																																																																																																																																					
1003	510	2003	255	Plant filing fee																																																																																																																																																																																					
1004	740	2004	370	Reissue filing fee																																																																																																																																																																																					
*005	160	2005	80	Provisional filing fee																																																																																																																																																																																					
SUBTOTAL (1)																																																																																																																																																																																									
2. EXTRA CLAIM FEES																																																																																																																																																																																									
Total Claims: 20** = <input type="text"/> Fee from below: <input type="text"/> Fee Paid: <input type="text"/> Independent Claims: 3 = <input type="text"/> Multiple Dependent: <input type="text"/>																																																																																																																																																																																									
<table border="1"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>1202</td><td>18</td><td>2202</td><td>9</td><td>Claims in excess of 20</td><td rowspan="5"></td></tr><tr><td>1201</td><td>84</td><td>2201</td><td>42</td><td>Independent claims in excess of 3</td></tr><tr><td>1203</td><td>280</td><td>2203</td><td>140</td><td>Multiple Dependent claim, if not paid</td></tr><tr><td>1204</td><td>84</td><td>2204</td><td>42</td><td>**Reissue independent claims over original patent</td></tr><tr><td>1205</td><td>18</td><td>2205</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td></tr><tr><td colspan="4">SUBTOTAL (2)</td><td></td><td></td></tr></tbody></table>		Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1202	18	2202	9	Claims in excess of 20		1201	84	2201	42	Independent claims in excess of 3	1203	280	2203	140	Multiple Dependent claim, if not paid	1204	84	2204	42	**Reissue independent claims over original patent	1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	SUBTOTAL (2)																																																																																																																																																			
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																				
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																						
1202	18	2202	9	Claims in excess of 20																																																																																																																																																																																					
1201	84	2201	42	Independent claims in excess of 3																																																																																																																																																																																					
1203	280	2203	140	Multiple Dependent claim, if not paid																																																																																																																																																																																					
1204	84	2204	42	**Reissue independent claims over original patent																																																																																																																																																																																					
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																																					
SUBTOTAL (2)																																																																																																																																																																																									
**or number previously paid, if greater. For Reissues, see below																																																																																																																																																																																									
		<table border="1"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td rowspan="2"></td></tr><tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td></tr><tr><td>2053</td><td>130</td><td>2053</td><td>130</td><td>Non-English specification</td><td rowspan="2"></td></tr><tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td></tr><tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td rowspan="2"></td></tr><tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr><tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td rowspan="2"></td></tr><tr><td>1252</td><td>400</td><td>2252</td><td>200</td><td>Extension for reply within second month</td></tr><tr><td>1253</td><td>920</td><td>2253</td><td>460</td><td>Extension for reply within third month</td><td rowspan="2"></td></tr><tr><td>1254</td><td>1,440</td><td>2254</td><td>720</td><td>Extension for reply within fourth month</td></tr><tr><td>1255</td><td>1,960</td><td>2255</td><td>980</td><td>Extension for reply within fifth month</td><td rowspan="2"></td></tr><tr><td>1404</td><td>320</td><td>2401</td><td>160</td><td>Notice of Appeal</td></tr><tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td>Filing a brief in support of an appeal</td><td rowspan="2"></td></tr><tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td>Request for oral hearing</td></tr><tr><td>1451</td><td>1,510</td><td>2451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td rowspan="2"></td></tr><tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - unavoidable</td></tr><tr><td>1453</td><td>1,280</td><td>2453</td><td>640</td><td>Petition to revive - unintentional</td><td rowspan="2"></td></tr><tr><td>1501</td><td>1,280</td><td>2501</td><td>640</td><td>Utility issue fee (or reissue)</td></tr><tr><td>1502</td><td>460</td><td>2502</td><td>230</td><td>Design issue fee</td><td rowspan="2"></td></tr><tr><td>1503</td><td>620</td><td>2503</td><td>310</td><td>Plant issue fee</td></tr><tr><td>1460</td><td>130</td><td>2460</td><td>130</td><td>Petitions to the Commissioner</td><td rowspan="2"></td></tr><tr><td>1807</td><td>50</td><td>*807</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td></tr><tr><td>1806</td><td>180</td><td>*806</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td rowspan="2"></td></tr><tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td></tr><tr><td>1809</td><td>740</td><td>*809</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td rowspan="2"></td></tr><tr><td>1810</td><td>740</td><td>2810</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td></tr><tr><td>1801</td><td>740</td><td>2801</td><td>370</td><td>Request for Continued Examination (RCE)</td><td rowspan="2"></td></tr><tr><td>1802</td><td>900</td><td>*802</td><td>900</td><td>Request for expedited examination of a design application</td></tr><tr><td colspan="4">Other fee (specify)</td><td></td><td></td></tr><tr><td colspan="4">* Reduced by Basic Filing Fee Paid</td><td></td><td></td></tr><tr><td colspan="4">SUBTOTAL (3)</td><td></td><td></td></tr></tbody></table>		Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	2053	130	2053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	1251	110	2251	55	Extension for reply within first month		1252	400	2252	200	Extension for reply within second month	1253	920	2253	460	Extension for reply within third month		1254	1,440	2254	720	Extension for reply within fourth month	1255	1,960	2255	980	Extension for reply within fifth month		1404	320	2401	160	Notice of Appeal	1402	320	2402	160	Filing a brief in support of an appeal		1403	280	2403	140	Request for oral hearing	1451	1,510	2451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable	1453	1,280	2453	640	Petition to revive - unintentional		1501	1,280	2501	640	Utility issue fee (or reissue)	1502	460	2502	230	Design issue fee		1503	620	2503	310	Plant issue fee	1460	130	2460	130	Petitions to the Commissioner		1807	50	*807	50	Processing fee under 37 CFR 1.17(q)	1806	180	*806	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	1809	740	*809	370	Filing a submission after final rejection (37 CFR § 1.129(a))		1810	740	2810	370	For each additional invention to be examined (37 CFR § 1.129(b))	1801	740	2801	370	Request for Continued Examination (RCE)		1802	900	*802	900	Request for expedited examination of a design application	Other fee (specify)						* Reduced by Basic Filing Fee Paid						SUBTOTAL (3)					
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																				
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																						
1051	130	2051	65	Surcharge - late filing fee or oath																																																																																																																																																																																					
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																					
2053	130	2053	130	Non-English specification																																																																																																																																																																																					
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																					
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																					
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																					
1251	110	2251	55	Extension for reply within first month																																																																																																																																																																																					
1252	400	2252	200	Extension for reply within second month																																																																																																																																																																																					
1253	920	2253	460	Extension for reply within third month																																																																																																																																																																																					
1254	1,440	2254	720	Extension for reply within fourth month																																																																																																																																																																																					
1255	1,960	2255	980	Extension for reply within fifth month																																																																																																																																																																																					
1404	320	2401	160	Notice of Appeal																																																																																																																																																																																					
1402	320	2402	160	Filing a brief in support of an appeal																																																																																																																																																																																					
1403	280	2403	140	Request for oral hearing																																																																																																																																																																																					
1451	1,510	2451	1,510	Petition to institute a public use proceeding																																																																																																																																																																																					
1452	110	2452	55	Petition to revive - unavoidable																																																																																																																																																																																					
1453	1,280	2453	640	Petition to revive - unintentional																																																																																																																																																																																					
1501	1,280	2501	640	Utility issue fee (or reissue)																																																																																																																																																																																					
1502	460	2502	230	Design issue fee																																																																																																																																																																																					
1503	620	2503	310	Plant issue fee																																																																																																																																																																																					
1460	130	2460	130	Petitions to the Commissioner																																																																																																																																																																																					
1807	50	*807	50	Processing fee under 37 CFR 1.17(q)																																																																																																																																																																																					
1806	180	*806	180	Submission of Information Disclosure Stmt																																																																																																																																																																																					
8021	40	8021	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																					
1809	740	*809	370	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																					
1810	740	2810	370	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																					
1801	740	2801	370	Request for Continued Examination (RCE)																																																																																																																																																																																					
1802	900	*802	900	Request for expedited examination of a design application																																																																																																																																																																																					
Other fee (specify)																																																																																																																																																																																									
* Reduced by Basic Filing Fee Paid																																																																																																																																																																																									
SUBTOTAL (3)																																																																																																																																																																																									

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926
Signature		Telephone	(503) 684-6200
		Date	12/13/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.



PATENT APPLICATION

042390.P11597X

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:) December 13, 2002
)
 LI et al.)
)
 Serial No.: 09/960,125) Group Art Unit: 2814
)
 Filed: September 21, 2001) Examiner: S. Rao

For: **STACKED FERROELECTRIC MEMORY DEVICE AND
METHOD OF MAKING SAME**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE IN
ACCORDANCE WITH 37 CFR §1.10 AS EXPRESS MAIL IN AN ENVELOPE
ADDRESSED TO:

HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK
OFFICE,

WASHINGTON, D.C. 20231, ON: 12-13-02
Date of Deposit

INTEL CORPORATION
Name of Assignee

[Signature]
SIGNATURE

12-17-02
DATE

RESPONSE TO RESTRICTION REQUIREMENT

HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK
OFFICE,

Washington, D.C. 20231

SIR:

In response to the Office action mailed November 20, 2002, Applicants
elect Group I, claims 1-18, without traverse. Accordingly, Applicants withdraw
claims 19-29.

PATENT APPLICATION

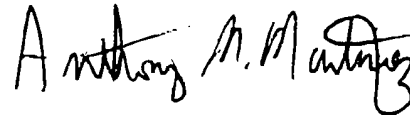
042390.P11557X

Applicants believe that no fee is due for this election. However, should it be determined that an additional fee is due under 37 CFR §§1.16 or 1.17, or any excess fee has been received, please charge that fee or credit the amount of overcharge to deposit account #02-2666.

If the Examiner believes that there are any informalities which can be corrected by an Examiner's amendment, a telephone call to the undersigned at (480) 552-0624 is respectfully solicited.

Respectfully submitted,

Jian Li et al.



Anthony M. Martinez

Patent Attorney

Reg. No. 44,223

Dated:

December 13, 2002

c/o Blakely, Sokoloff, Taylor & Zafman, LLP
12400 Wilshire Blvd., Seventh Floor
Los Angeles, CA 90025-1026
(503) 264-0967